## STATE OF NORTH CAROLINA DEPARTMENT OF TRANSPORTATION



#### **DIVISION 2**

### CONTRACT PROPOSAL SMALL BUSINESS ENTERPRISE

CONTRACT: 11237967
WBS ELEMENT: 2.102513
COUNTY: Craven
ROUTE: US 70

**DESCRIPTION:** Plant Bed Mowing and Litter Removal at

**Specified Plant Projects in Craven County** 

PRE-BID CONFERENCE: Tuesday, March 12, 2013 BID OPENING: Wednesday, March 27, 2013

#### **NOTICE:**

UNDER THE PROVISIONS OF THIS PROGRAM, A NC GENERAL CONTRACTOR'S LICENSE IS NOT REQUIRED NOR ARE CONTRACT PAYMENT AND CONTRACT PERFORMANCE BONDS REQUIRED. BIDDERS SHALL COMPLY WITH ALL OTHER APPLICABLE LAWS, INCLUDING BUT NOT LIMITED TO, THOSE REGULATING THE PRACTICES OF ELECTRICAL, PLUMBING, HEATING, AND AIR CONDITIONING AND REFRIGERATION CONTRACTING AS CONTAINED IN CHAPTER 87 OF THE GENERAL STATUTES OF NORTH CAROLINA.

NAME OF BIDDER

ADDRESS OF BIDDER

#### **RETURN BIDS TO:**

N. C. DEPARTMENT OF TRANSPORTATION Attn: Aaron Bullard, PE 105 Pactolus Highway P O Box 1587 Greenville, NC 27835-1587

Per items 11 - 13 of the instructions on page 2

### PROPOSAL FOR THE CONSTRUCTION OF CONTRACT No. 11237967 IN CRAVEN COUNTY, NORTH CAROLINA

Date	20
DEPARTMENT O	OF TRANSPORTATION,
RALEIGH, N	ORTH CAROLINA

The Bidder has carefully examined the location of the proposed work to be known as Contract No. 11237967; has carefully examined the plans and specifications, which are acknowledged to be part of the proposal, the special provisions, the proposal, the form of contract, and the forms of contract payment bond and contract performance bond; and thoroughly understands the stipulations, requirements and provisions. The undersigned bidder agrees to bound upon his execution of the bid and subsequent award to him in accordance with this proposal to provide the necessary contract payment bond and contract performance bond within fourteen days after the written notice of award is received by him. The undersigned Bidder further agrees to provide all necessary machinery, tools, labor, and other means of construction; and to do all the work and to furnish all materials, except as otherwise noted, necessary to perform and complete the said contract in accordance with the 2012 Standard Specifications for Roads and Structures by the dates(s) specified in the Project Special Provisions and in accordance with the requirements of the Engineer, and at the unit or lump sum prices, as the case may be, for the various items given on the sheets contained herein.

The Bidder shall provide and furnish all the materials, machinery, implements, appliances and tools, and perform the work and required labor to construct and complete State Highway Contract No. 11237967 in Craven County, for the unit or lump sum prices, as the case may be, bid by the Bidder in his bid and according to the proposal, plans, and specifications prepared by said Department, which proposal, plans, and specifications show the details covering this project, and hereby become a part of this contract.

The published volume entitled North Carolina Department of Transportation, Raleigh, Standard Specifications for Roads and Structures, January 2012 with all amendments and supplements thereto, is by reference incorporated into and made a part of this contract; that, except as herein modified, all the construction and work included in this contract is to be done in accordance with the specifications contained in said volume, and amendments and supplements thereto, under the direction of the Engineer.

If the proposal is accepted and the award is made, the contract is valid only when signed either by the Contract Officer or such other person as may be designated by the Secretary to sign for the Department of Transportation. The conditions and provisions herein cannot be changed except over the signature of the said Contract Officer.

The quantities shown in the itemized proposal for the project are considered to be approximate only and are given as the basis for comparison of bids. The Department of Transportation may increase or decrease the quantity of any item or portion of the work as may be deemed necessary or expedient.

An increase or decrease in the quantity of an item will not be regarded as sufficient ground for an increase or decrease in the unit prices, nor in the time allowed for the completion of the work, except as provided for the contract.

A bid bond is not required for this Contract.



Division Contract Officer

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### **INSTRUCTIONS TO BIDDERS**

### PLEASE READ ALL INSTRUCTIONS CAREFULLY BEFORE PREPARING AND SUBMITTING YOUR BID.

All bids shall be prepared and submitted in accordance with the following requirements. Failure to comply with any requirement shall cause the bid to be considered irregular and shall be grounds for rejection of the bid.

- The bid form furnished by NCDOT with the proposal shall be used and shall not be altered in any manner. DO NOT SEPARATE THE BID FORM FROM THE PROPOSAL!
- 2. All entries on the bid form, including signatures, shall be written in ink.
- 3. The Bidder shall submit a unit price for every item on the bid form. The unit prices for the various contract items shall be written in figures. Unit prices shall be limited to two (2) decimal places.
- 4. An amount bid shall be entered on the bid form for every item. The amount bid for each item shall be determined by multiplying each unit bid by the quantity for that item, and shall be written in figures in the "Amount Bid" column of the form.
- 5. The total amount bid shall be written in figures in the proper place on the bid form. The total amount shall be determined by adding the amounts bid for each item.
- 6. Changes in any entry shall be made by marking through the entry in ink and making the correct entry adjacent thereto in ink. A representative of the Bidder shall initial the change in ink.
- 7. The bid shall be properly executed. All bids shall show the following information:
  - a. Name of individual, firm, corporation, partnership, or joint venture submitting bid.
  - b. Name and signature of individual or representative submitting bid and position or title.
  - c. Name, signature, and position or title of witness.
  - d. Federal Identification Number (or Social Security Number of Individual)
  - e. Contractor's License Number (if Applicable)
- **8.** Bids submitted by corporations shall bear the seal of the corporation.
- 9. The bid shall not contain any unauthorized additions, deletions, or conditional bids.
- 10. The bidder shall not add any provision reserving the right to accept or reject an award, or to enter into a contract pursuant to an award.
- 11. THE PROPOSAL WITH THE BID FORM STILL ATTACHED SHALL BE PLACED IN A SEALED ENVELOPE AND SHALL HAVE BEEN DELIVERED TO AND RECEIVED IN THE DIVISION TWO ENGINEER'S OFFICE AT 105 PACTOLUS HIGHWAY, GREENVILLE, NC 27834 BY 11:00 AM ON WEDNESDAY, MARCH 27, 2013.
- 12. The sealed bid must display the following statement on the front of the sealed envelope:

ATTN: AARON BULLARD, PE QUOTATION FOR 11237967 (MOWING IN CRAVEN COUNTY) TO BE OPENED AT 11:00 AM, WEDNESDAY, MARCH 27, 2013

13. If delivered by mail, the sealed envelope shall be placed in another sealed envelope and the outer envelope shall be addressed as follows:

NC DEPARTMENT OF TRANSPORTATION ATTN: AARON BULLARD, PE PO BOX 1587 GREENVILLE, NC 27835-1587

#### AWARD OF CONTRACT

The award of the contract, if it be awarded, will be made to the lowest responsible bidder in accordance with Section 102 (excluding 102-10) of the Standard Specifications for Roads and Structures, 2012. The lowest responsible bidder will be notified that his bid has been accepted and that he has been awarded the contract. NCDOT reserves the right to reject all bids.

### PROJECT SPECIAL PROVISIONS GENERAL

#### **DESCRIPTION:**

This contract is for mowing and litter removal on plant projects at the following locations:

- 1. Along US 70 in the vicinity of the Neuse/Trent River Bridges in Craven County.
- 2. Plantings at the intersection of US 70 and Pembroke Road in New Bern
- 3. Plantings at the intersection of US 70 and US 17 (MLK) in New Bern
- 4. Plantings at the intersection of US 70 and Glenburnie Road in New Bern
- 5. Plantings at the intersection of US 70 and Clarks Road
- 6. Plantings at the intersection of US 70 and Tuscarora Road
- 7. Plantings at the intersection of US 70 and NC 43

All work and materials shall be in accordance with the provisions of the General Guidelines of this contract, the Project Special Provisions, the North Carolina Department of Transportation *Standard Specifications for Roads and Structures, January 2012*, the North Carolina Department of Transportation *Roadway Standard Drawings, January 2012*, and the current edition of the *Manual of Uniform Traffic Control Devices* (MUTCD).

The Contractor shall keep himself fully informed of all Federal, State, and Local laws, ordinances, and regulations, and shall comply with the provisions of Section 107 of the 2012 Standard Specifications.

#### SMALL BUSINESS ENTERPRISE PROGRAM:

This is a Small Business Enterprise (SBE) Program project, and as such, it is restricted to businesses grossing less than \$1,500,000, excluding materials, during the previous calendar year. Contractors must be certified as a SBE Contractor by the Contractual Services Unit of NCDOT and listed as such in the Directory of Transportation Firms at the time of bid opening. Certification requirements and additional SBE Program information may be found at <a href="http://www.ncdot.org/business/ocs/sbe/">http://www.ncdot.org/business/ocs/sbe/</a>.

As authorized by G.S. 136-28.10 (Highway Fund and Highway Trust Fund Small Project Bidding), the Department's normal bonding and licensing requirements are waived for this SBE project.

#### **MANDATORY PRE-BID CONFERENCE:**

In order to bid on this contract, all prospective bidders must attend the Pre-Bid Conference to be held on Tuesday, March 12, 2013 at 11:00 AM at the Division 2 Roadside Environmental Office at 1722 N. Memorial Drive in Greenville, NC. This meeting will begin promptly at 11:00 AM. Prospective bidders arriving after the 11:00 AM starting time will not be allowed to bid. The Conference will be conducted by Department personnel for the purpose of providing additional information about the project and to give all bidders an opportunity to ask any questions they may have. Only bids received from the bidders who have attended and properly registered at the Pre-Bid Conference will be considered.

Attendance at the Pre-Bid Conference will not meet the requirements of proper registration unless the individual attending has registered with the following information:

- 1. The individual writes his/her name on the official roster.
- 2. The individual writes in the name and address of the company he/she represents.
- 3. Only one company is shown as being represented by the individual attending.
- 4. The individual is an officer or permanent employee of the firm they represent.

#### **CONTRACT TIME AND LIQUIDATED DAMAGES:**

The date of availability for this contract is **the date the Purchase Order is issued** (approximately two weeks after the bid opening).

The completion date for this contract is **December 31, 2013**.

The Contractor shall submit a bid for one (1) year. At the option of the Department, this contract may be extended for two (2) additional periods of one (1) year each for a maximum period of three (3) years total. If the contract is extended, the unit bid prices will be increased by three (3) percent for each one (1) year extension. No changes in the terms, conditions, etc. of this contract will be made when an extension to the contract is implemented. The Engineer will notify the Contractor in writing no later than 30 calendar days prior to the contract expiration if the contract is to be extended. The Contractor must notify the Engineer in writing within 15 calendar days of his acceptance or rejection of this offer. Failure on the part of the Contractor to reply will be considered as a rejection of contract extension.

Liquidated damages for this contract are **One Hundred Dollars** (\$100.00) per calendar day. Liquidated damages are chargeable due to failure to begin and complete work within the time specified.

#### **BIDS**:

In accordance with GS 136-28.10, if the total bid amount of the contract exceeds \$500,000.00, the bid will not be considered for award.

#### **INSURANCE:**

Upon award, the Contractor shall provide proof of insurance as required in Article 107-15 of the *Standard Specifications*.

#### **PAYMENT:**

The Contractor may submit a request for partial payment on a monthly basis, or other interval as approved by the Engineer. Compensation for all pay items shall be in accordance with the *Standard Specifications*. The amount of partial payments will be based on the work accomplished and accepted as the last day of the approved pay period.

Request for payment shall be made by Contractor's Invoice submitted to:

NCDOT – Roadside Environmental Attention: John Wells PO Box 1587 Greenville, NC 27835

All invoice items and unit costs shall correspond to contract pay items. In the event of error or discrepancy in items or unit costs, the Department may return the invoice to the Contractor for correction.

#### **CONTRACT BID QUANTITIES:**

Contractor shall note that the contract quantities provided are used solely for determining the lowest responsible bidder. No minimum amount of work is guaranteed under this contract.

#### **PROSECUTION AND PROGRESS:**

The Contractor's operations are restricted to daylight hours. **No work may be performed on Saturdays, Sundays and Legal State holidays**. Work shall only be performed when weather and visibility conditions allow safe operations.

#### **EXTENSION OF CONTRACT TIME:**

Failure on the part of the Contractor to furnish bonds or certifications or to satisfy preliminary requirements necessary to issue the purchase order will not constitute grounds for extension of the contract time. If the Contractor has fulfilled all preliminary requirements for the issuance of a purchase order, and the purchase order authorization is not available by the date of availability, the Contractor shall be granted an extension equal to the number of calendar days the purchase order authorization is delayed after the date of availability.

#### **OUTSOURCING OUTSIDE THE USA:**

(9-21-04) (Rev. 5-16-06)

SP1 G150

All work on consultant contracts, services contracts, and construction contracts shall be performed in the United States of America. No work shall be outsourced outside of the United States of America.

*Outsourcing* for the purpose of this provision is defined as the practice of subcontracting labor, work, services, staffing, or personnel to entities located outside of the United States.

The North Carolina Secretary of Transportation shall approve exceptions to this provision in writing.

#### **GIFTS FROM VENDORS AND CONTRACTORS:**

(12-15-09)

107-1

SP1 G152

By Executive Order 24, issued by Governor Perdue, and *N.C. G.S.*§ 133-32, it is unlawful for any vendor or contractor (i.e. architect, bidder, contractor, construction manager, design professional, engineer, landlord, offeror, seller, subcontractor, supplier, or vendor), to make gifts or to give favors to any State employee of the Governor's Cabinet Agencies (i.e. Administration, Commerce, Correction, Crime Control and Public Safety, Cultural Resources, Environment and Natural Resources, Health and Human Services, Juvenile Justice and Delinquency Prevention, Revenue, Transportation, and the Office of the Governor). This prohibition covers those vendors and contractors who:

- (1) have a contract with a governmental agency; or
- (2) have performed under such a contract within the past year; or
- (3) anticipate bidding on such a contract in the future.

For additional information regarding the specific requirements and exemptions, vendors and contractors are encouraged to review Executive Order 24 and G.S. § 133-32.

Executive Order 24 also encouraged and invited other State Agencies to implement the requirements and prohibitions of the Executive Order to their agencies. Vendors and contractors should contact other State Agencies to determine if those agencies have adopted Executive Order 24.

**EMPLOYMENT:** 

(11-15-11) (Rev. 1-17-12) 108, 102 SPI G184

Revise the 2012 Standard Specifications as follows:

Page 1-20, Subarticle 102-15(O), delete and replace with the following:

**(O)** Failure to restrict a former Department employee as prohibited by Article 108-5.

Page 1-65, Article 108-5 Character of Workmen, Methods, and Equipment, line 32, delete all of line 32, the first sentence of the second paragraph and the first word of the second sentence of the second paragraph.

## STATE HIGHWAY ADMINISTRATOR TITLE CHANGE: (9-18-12)

SP1 G185

Revise the 2012 Standard Specifications as follows:

Replace all references to "State Highway Administrator" with "Chief Engineer".

### PROJECT SPECIAL PROVISIONS ROADWAY

#### **MOWING:**

Mowing patterns at the specified locations will follow existing mowing patterns and may vary at each site.

#### **MOWING SCHEDULE:**

Mowing will be performed when needed from April through November as directed by the Engineer during the monthly meetings on the project. Monthly meetings are for establishing a mowing schedule and evaluation of work. Work shall begin within forty-eight (48) hours of the agreed upon schedule. In general terms, the Contractor will be responsible for mowing all specified areas within the project(s). For a cycle to be considered complete, <u>all</u> specified areas within project shall have been mowed. Mowing height shall be one to two (1-2") inches. Compensation will be paid as per line item bid per cycle.

#### **LITTER REMOVAL:**

The Contractor will remove and properly dispose of all trash and litter from the proposed mowing areas, as well as trash and litter in mulched beds and tree rings, prior to each mowing cycle at the location. Litter removal in non-mowing months will be at the direction of the Engineer. Contractor is to provide trash bags. Dispose of litter at an approved site or landfill. No stockpiling of trash bags will be permitted on highway right-of-way. For a cycle to be considered complete, <u>all</u> litter shall have been removed from all specified areas within the project to meet Engineers approval. Compensation will be paid as per line item bid per cycle.

#### **COOPERATION WITH STATE FORCES:**

The NCDOT reserves the right at any time for State Forces to perform other or additional work on or near the work covered by the contract. When State Forces perform work near where contract work is being performed, the Contractor shall conduct their work so as not to interfere with work being performed by State Forces and vice versa. The Contractor shall conduct their operations in such a manner as to avoid damaging any work being performed by State Forces or which State Forces have completed.

#### **TRAFFIC CONTROL:**

The Contractor shall maintain traffic during construction and provide, install, and maintain all traffic control devices in accordance with these project guidelines, the Project Special Provisions, the 2012 Standard Specifications, and the current edition of the Manual of Uniform Traffic Control Devices (MUTCD).

The Contractor shall utilize complete and proper traffic controls and traffic control devices during all operations. All traffic control and traffic control devices required for any operation shall be functional and in place prior to the commencement of that operation. Signs for temporary operations shall be removed during periods of inactivity. The Contractor is required to leave the project in a manner that will be safe to the traveling public and which will not impede motorists.

Traffic movements through lane closures on roads with two way traffic shall be controlled by flaggers stationed at each end of the work zone. In situations where sight distance is limited, the Contractor shall provide additional means of controlling traffic, including, but not limited to, two-way radios, pilot

vehicles, or additional flaggers. Flaggers shall be competent personnel, adequately trained in flagging procedures, and furnished with proper safety devices and equipment, including, but not limited to, safety vests and stop/slow paddles.

All personnel when working in traffic areas or areas in close proximity to traffic shall wear an approved safety vest, or shirt or jacket which meets the color requirements of the *Manual of Uniform Traffic Control Devices* (MUTCD).

The Contractor shall comply with all applicable Federal, State, and local laws, ordinances, and regulations governing safety, health, and sanitation, and shall provide all safeguards, safety devices, and protective equipment, and shall take any other needed actions, on his own responsibility that are reasonably necessary to protect the life and health of employees on the job and the safety of the public, and to protect property in connection with the performance of the work covered by the contract.

Failure to comply with any of the requirements for safety and traffic control of this contract shall result in suspension of work as provided in Subarticle 108-7 of the 2012 Standard Specifications.

There shall be no direct payment for performing Traffic Control operations, as this work will be considered incidental to the contract.

### STANDARD SPECIAL PROVISION AVAILABILITY OF FUNDS – TERMINATION OF CONTRACTS

(5-20-08) Z-2

General Statute 143C-6-11. (h) Highway Appropriation is hereby incorporated verbatim in this contract as follows:

(h) Amounts Encumbered. – Transportation project appropriations may be encumbered in the amount of allotments made to the Department of Transportation by the Director for the estimated payments for transportation project contract work to be performed in the appropriation fiscal year. The allotments shall be multiyear allotments and shall be based on estimated revenues and shall be subject to the maximum contract authority contained in *General Statute 143C-6-11(c)*. Payment for transportation project work performed pursuant to contract in any fiscal year other than the current fiscal year is subject to appropriations by the General Assembly. Transportation project contracts shall contain a schedule of estimated completion progress, and any acceleration of this progress shall be subject to the approval of the Department of Transportation provided funds are available. The State reserves the right to terminate or suspend any transportation project contract, and any transportation project contract shall be so terminated or suspended if funds will not be available for payment of the work to be performed during that fiscal year pursuant to the contract. In the event of termination of any contract, the contractor shall be given a written notice of termination at least 60 days before completion of scheduled work for which funds are available. In the event of termination, the contractor shall be paid for the work already performed in accordance with the contract specifications.

Payment will be made on any contract terminated pursuant to the special provision in accordance with Subarticle 108-13(E) of the 2012 Standard Specifications.

#### STANDARD SPECIAL PROVISION

#### **ERRATA**

(1-17-12) (Rev. 9-18-12) Z-4

Revise the 2012 Standard Specifications as follows:

#### **Division 2**

Page 2-7, line 31, Article 215-2 Construction Methods, replace "Article 107-26" with "Article 107-25".

Page 2-17, Article 226-3, Measurement and Payment, line 2, delete "pipe culverts,".

Page 2-20, Subarticle 230-4(B), Contractor Furnished Sources, change references as follows: Line 1, replace "(4) Buffer Zone" with "(c) Buffer Zone"; Line 12, replace "(5) Evaluation for Potential Wetlands and Endangered Species" with "(d) Evaluation for Potential Wetlands and Endangered Species"; and Line 33, replace "(6) Approval" with "(4) Approval".

#### Division 4

Page 4-77, line 27, Subarticle 452-3(C) Concrete Coping, replace "sheet pile" with "reinforcement".

#### **Division 6**

Page 6-7, line 31, Article 609-3 Field Verification of Mixture and Job Mix Formula Adjustments, replace "30" with "45".

Page 6-10, line 42, Subarticle 609-6(C)(2), replace "Subarticle 609-6(E)" with "Subarticle 609-6(D)".

**Page 6-11, Table 609-1 Control Limits,** replace "Max. Spec. Limit" for the Target Source of  $P_{0.075}/P_{be}$  Ratio with "1.0".

**Page 6-40, Article 650-2 Materials,** replace "Subarticle 1012-1(F)" with "Subarticle 1012-1(E)"

#### **Division 10**

**Page 10-74, Table 1056-1 Geotextile Requirements,** replace "50%" for the UV Stability (Retained Strength) of Type 5 geotextiles with "70%".

#### **Division 12**

Page 12-7, Table 1205-3, add "FOR THERMOPLASTIC" to the end of the title.

Page 12-8, Subarticle 1205-5(B), line 13, replace "Table 1205-2" with "Table 1205-4".

Page 12-8, Table 1205-4 and 1205-5, replace "THERMOPLASTIC" in the title of these tables with "POLYUREA".

**Page 12-9, Subarticle 1205-6(B), line 21,** replace "Table 1205-4" with "Table 1205-6".

Page 12-11, Subarticle 1205-8(C), line 25, replace "Table 1205-5" with "Table 1205-7".

#### **Division 15**

Page 15-6, Subarticle 1510-3(B), after line 21, replace the allowable leakage formula with the following:

 $W = LD\sqrt{P} + 148,000$ 

Page 15-6, Subarticle 1510-3(B), line 32, delete "may be performed concurrently or" and replace with "shall be performed".

Page 15-17, Subarticle 1540-3(E), line 27, delete "Type 1".

#### Division 17

Page 17-26, line 42, Subarticle 1731-3(D) Termination and Splicing within Interconnect Center, delete this subarticle.

Revise the 2012 Roadway Standard Drawings as follows:

**1633.01 Sheet 1 of 1, English Standard Drawing for Matting Installation,** replace "1633.01" with "1631.01".

#### **STANDARD SPECIAL PROVISION**

#### PLANT AND PEST QUARANTINES

#### (Imported Fire Ant, Gypsy Moth, Witchweed, And Other Noxious Weeds)

(3-18-03) Z-04a

#### Within quarantined area

This project may be within a county regulated for plant and/or pests. If the project or any part of the Contractor's operations is located within a quarantined area, thoroughly clean all equipment prior to moving out of the quarantined area. Comply with federal/state regulations by obtaining a certificate or limited permit for any regulated article moving from the quarantined area.

#### Originating in a quarantined county

Obtain a certificate or limited permit issued by the N.C. Department of Agriculture/United States Department of Agriculture. Have the certificate or limited permit accompany the article when it arrives at the project site.

#### **Contact**

Contact the N.C. Department of Agriculture/United States Department of Agriculture at 1-800-206-9333, 919-733-6932, or <a href="http://www.ncagr.com/plantind/">http://www.ncagr.com/plantind/</a> to determine those specific project sites located in the quarantined area or for any regulated article used on this project originating in a quarantined county.

#### **Regulated Articles Include**

- 1. Soil, sand, gravel, compost, peat, humus, muck, and decomposed manure, separately or with other articles. This includes movement of articles listed above that may be associated with cut/waste, ditch pulling, and shoulder cutting.
- 2. Plants with roots including grass sod.
- 3. Plant crowns and roots.
- 4. Bulbs, corms, rhizomes, and tubers of ornamental plants.
- 5. Hay, straw, fodder, and plant litter of any kind.
- 6. Clearing and grubbing debris.
- 7. Used agricultural cultivating and harvesting equipment.
- 8. Used earth-moving equipment.
- 9. Any other products, articles, or means of conveyance, of any character, if determined by an inspector to present a hazard of spreading imported fire ant, gypsy moth, witchweed or other noxious weeds.

#### STANDARD SPECIAL PROVISION

#### **MINIMUM WAGES**

(7-21-09) Z-5

**FEDERAL:** The Fair Labor Standards Act provides that with certain exceptions every employer shall pay wages at the rate of not less than SEVEN DOLLARS AND TWENTY FIVE CENTS

(\$7.25) per hour.

STATE: The North Carolina Minimum Wage Act provides that every employer shall pay to each

of his employees, wages at a rate of not less than SEVEN DOLLARS AND TWENTY

FIVE CENTS (\$7.25) per hour.

The minimum wage paid to all skilled labor employed on this contract shall be SEVEN

DOLLARS AND TWENTY FIVE CENTS (\$7.25) per hour.

The minimum wage paid to all intermediate labor employed on this contract shall be

SEVEN DOLLARS AND TWENTY FIVE CENTS (\$7.25) per hour.

The minimum wage paid to all unskilled labor on this contract shall be SEVEN

DOLLARS AND TWENTY FIVE CENTS (\$7.25) per hour.

This determination of the intent of the application of this act to the contract on this

project is the responsibility of the Contractor.

The Contractor shall have no claim against the Department of Transportation for any changes in the minimum wage laws, Federal or State. It is the responsibility of the Contractor to keep fully informed of all Federal and State Laws affecting his contract.

#### **CORPORATION**

The person executing the bid, on behalf of the Bidder, being duly sworn, solemnly swears (or affirms) that neither he, nor any official, agent or employee of the bidder has entered into any agreement, participated in any collusion, or otherwise taken any action which is in restraint of free competitive bidding in connection with any bid or contract, that the bidder has not been convicted of violating *N.C.G.S.* § 133-24 within the last three years, and that the Bidder intends to do the work with its own bonafide employees or subcontractors and is not bidding for the benefit of another contractor.

In addition, execution of this bid in the proper manner also constitutes the Bidder's certification of status under penalty of perjury under the laws of the United States in accordance with the Debarment Certification attached, provided that the Debarment Certification also includes any required statements concerning exceptions that are applicable.

N.C.G.S. § 133-32 and Executive Order 24 prohibit the offer to, or acceptance by, any State Employee of any gift from anyone with a contract with the State, or from any person seeking to do business with the State. By execution of any response in this procurement, you attest, for your entire organization and its employees or agents, that you are not aware that any such gift has been offered, accepted, or promised by any employees of your organization.

#### SIGNATURE OF CONTRACTOR

Full nar	me of Corporation
Addres	ess as prequalified
Attest	Ву
Attest Secretary/Assistant Secretary Select appropriate title	By President/Vice President/Assistant Vice President  Select appropriate title
Print or type Signer's name	Print or type Signer's name
	CORPORATE SEAL
AFFIDAVIT M	MUST BE NOTARIZED
Subscribed and sworn to before me this the	NOTARY SEAL
day of 20	
Signature of Notary Public	
ofCounty	
State of	
My Commission Expires:	

#### **PARTNERSHIP**

The person executing the bid, on behalf of the Bidder, being duly sworn, solemnly swears (or affirms) that neither he, nor any official, agent or employee of the bidder has entered into any agreement, participated in any collusion, or otherwise taken any action which is in restraint of free competitive bidding in connection with any bid or contract, that the bidder has not been convicted of violating *N.C.G.S.* § 133-24 within the last three years, and that the Bidder intends to do the work with its own bonafide employees or subcontractors and is not bidding for the benefit of another contractor.

In addition, execution of this bid in the proper manner also constitutes the Bidder's certification of status under penalty of perjury under the laws of the United States in accordance with the Debarment Certification attached, provided that the Debarment Certification also includes any required statements concerning exceptions that are applicable.

*N.C.G.S.* § 133-32 and Executive Order 24 prohibit the offer to, or acceptance by, any State Employee of any gift from anyone with a contract with the State, or from any person seeking to do business with the State. By execution of any response in this procurement, you attest, for your entire organization and its employees or agents, that you are not aware that any such gift has been offered, accepted, or promised by any employees of your organization.

#### SIGNATURE OF CONTRACTOR

Full Na	ame of Partnersh	nip	
Addre	ss as Prequalifie	ed	
	By		
Signature of Witness		Signature of Partner	
Print or type Signer's name		Print or type Signer's name	
AFFIDAVIT M	IUST BE N	OTARIZED	
Subscribed and sworn to before me this the		NOTARY SEAL	
day of 20			
Signature of Notary Public			
ofCounty			
State of			
My Commission Expires:			

#### LIMITED LIABILITY COMPANY

The person executing the bid, on behalf of the Bidder, being duly sworn, solemnly swears (or affirms) that neither he, nor any official, agent or employee of the bidder has entered into any agreement, participated in any collusion, or otherwise taken any action which is in restraint of free competitive bidding in connection with any bid or contract, that the bidder has not been convicted of violating *N.C.G.S.* § 133-24 within the last three years, and that the Bidder intends to do the work with its own bonafide employees or subcontractors and is not bidding for the benefit of another contractor.

In addition, execution of this bid in the proper manner also constitutes the Bidder's certification of status under penalty of perjury under the laws of the United States in accordance with the Debarment Certification attached, provided that the Debarment Certification also includes any required statements concerning exceptions that are applicable.

*N.C.G.S.* § 133-32 and Executive Order 24 prohibit the offer to, or acceptance by, any State Employee of any gift from anyone with a contract with the State, or from any person seeking to do business with the State. By execution of any response in this procurement, you attest, for your entire organization and its employees or agents, that you are not aware that any such gift has been offered, accepted, or promised by any employees of your organization.

#### SIGNATURE OF CONTRACTOR

Full Name of Firm				
Address as	Prequalified			
Signature of Witness	Signature of Member/Manager/Authorized Agent			
Signature of Williams	Select appropriate title			
Print or type Signer's name	Print or type Signer's Name			
AFFIDAVIT MUS	T BE NOTARIZED			
Subscribed and sworn to before me this the	NOTARY SEAL			
day of 20				
Signature of Notary Public	—			
ofCounty				
State of				

My Commission Expires:\_\_\_\_\_

#### **EXECUTION OF BID** NON-COLLUSION AFFIDAVIT, DEBARMENT CERTIFICATION AND GIFT BAN CERTIFICATION **JOINT VENTURE (2) or (3)**

The person executing the bid, on behalf of the Bidder, being duly sworn, solemnly swears (or affirms) that neither he, nor any official, agent or employee of the bidder has entered into any agreement, participated in any collusion, or otherwise taken any action which is in restraint of free competitive bidding in connection with any bid or contract, that the bidder has not been convicted of violating N.C.G.S. § 133-24 within the last three years, and that the Bidder intends to do the work with its own bonafide employees or subcontractors and is not bidding for the benefit of another contractor.

In addition, execution of this bid in the proper manner also constitutes the Bidder's certification of status under penalty of perjury under the laws of the United States in accordance with the Debarment Certification attached, provided that the Debarment Certification also includes any required statements concerning exceptions that are applicable.

N.C.G.S. § 133-32 and Executive Order 24 prohibit the offer to, or acceptance by, any State Employee of any gift from anyone with a contract with the State, or from any person seeking to do business with the State. By execution of any response in this procurement, you attest, for your entire organization and its employees or agents, that you are not aware that any such gift has been offered, accepted, or promised by any employees of your organization.

#### SIGNATURE OF CONTRACTOR

Instructions: 2 Joint Venturers Fill in lines (1), (2) and (3) and execute. 3 Joint Venturers Fill in lines (1), (2), (3) and (4) and execute. On Line (1), fill in the name of the Joint Venture Company. On Line (2), fill in the name of one of the joint venturers and execute below in the appropriate manner. On Line (3), print or type the name of the other joint venturer and execute below in the appropriate manner. On Line (4), fill in the name of the third joint venturer, if applicable and execute below in the appropriate manner.

Signature of Witness or Attest  Print or type Signer's name	Name of Joint Venture  Name of Contractor  Address as prequalified  By		
-	Address as prequalified		
-			
-	Ву		
Print or type Signer's name			Signature of Contractor
			Print or type Signer's name
orporation, affix Corporate Seal	and		
	Name of Contractor		
	Address as prequamied		
Signature of Witness or Attest	Ву		Signature of Contractor
Print or type Signer's name	·		Print or type Signer's name
orporation, affix Corporate Seal	and		
	Name of Contractor (for 3 Joint Ver	nture only)	
	Address as prequalified		
Signature of Witness or Attest	By		Signature of Contractor
Print or type Signer's name	<u> </u>		Print or type Signer's name
Companyion offic Company Soul			
Corporation, affix Corporate Seat	NOTARY SEAL		NOTARY
arized for Line (2)		ne (3)	Affidavit must be notarized for Line (4)
n to before me this 20	Subscribed and sworn to before m	e this	Subscribed and sworn to before me thisday of20
Public	Signature of Notary Public		Signature of Notary Public
County		County	ofCour State of
pires:			My Commission Expires:
	Signature of Witness or Attest  Print or type Signer's name  orporation, affix Corporate Seal  Signature of Witness or Attest  Print or type Signer's name  Corporation, affix Corporate Seal  arized for Line (2)  n to before me this	Name of Contractor  Address as prequalified  Signature of Witness or Attest  By  Print or type Signer's name  Orporation, affix Corporate Seal  Name of Contractor (for 3 Joint Ve.  Address as prequalified  Signature of Witness or Attest  By  Print or type Signer's name  Corporation, affix Corporate Seal  Signature of Witness or Attest  By  Print or type Signer's name  Corporation, affix Corporate Seal  And NOTARY SEAL  Arized for Line (2)  In to before me this  Subscribed and sworn to before me day of	Name of Contractor  Address as prequalified  Signature of Witness or Attest  By  Print or type Signer's name  Orporation, affix Corporate Seal  Name of Contractor (for 3 Joint Venture only)  Address as prequalified  Signature of Witness or Attest  By  Print or type Signer's name  Corporation, affix Corporate Seal  Address as prequalified  Signature of Witness or Attest  By  Print or type Signer's name  Corporation, affix Corporate Seal  Affidavit must be notarized for Line (3)  Subscribed and sworn to before me this

#### INDIVIDUAL DOING BUSINESS UNDER A FIRM NAME

The person executing the bid, on behalf of the Bidder, being duly sworn, solemnly swears (or affirms) that neither he, nor any official, agent or employee of the bidder has entered into any agreement, participated in any collusion, or otherwise taken any action which is in restraint of free competitive bidding in connection with any bid or contract, that the bidder has not been convicted of violating *N.C.G.S. § 133-24* within the last three years, and that the Bidder intends to do the work with its own bonafide employees or subcontractors and is not bidding for the benefit of another contractor.

In addition, execution of this bid in the proper manner also constitutes the Bidder's certification of status under penalty of perjury under the laws of the United States in accordance with the Debarment Certification attached, provided that the Debarment Certification also includes any required statements concerning exceptions that are applicable.

*N.C.G.S.* § 133-32 and Executive Order 24 prohibit the offer to, or acceptance by, any State Employee of any gift from anyone with a contract with the State, or from any person seeking to do business with the State. By execution of any response in this procurement, you attest, for your entire organization and its employees or agents, that you are not aware that any such gift has been offered, accepted, or promised by any employees of your organization.

### SIGNATURE OF CONTRACTOR Name of Contractor Individual name Trading and doing business as Full name of Firm Address as Prequalified Signature of Witness Signature of Contractor, Individually Print or type Signer's name Print or type Signer's name AFFIDAVIT MUST BE NOTARIZED Subscribed and sworn to before me this the **NOTARY SEAL** Signature of Notary Public of County State of

My Commission Expires:\_\_\_\_\_

#### **EXECUTION OF BID**

#### NON-COLLUSION AFFIDAVIT, DEBARMENT CERTIFICATION AND GIFT BAN CERTIFICATION

#### INDIVIDUAL DOING BUSINESS IN HIS OWN NAME

The person executing the bid, on behalf of the Bidder, being duly sworn, solemnly swears (or affirms) that neither he, nor any official, agent or employee of the bidder has entered into any agreement, participated in any collusion, or otherwise taken any action which is in restraint of free competitive bidding in connection with any bid or contract, that the bidder has not been convicted of violating *N.C.G.S.* § 133-24 within the last three years, and that the Bidder intends to do the work with its own bonafide employees or subcontractors and is not bidding for the benefit of another contractor.

In addition, execution of this bid in the proper manner also constitutes the Bidder's certification of status under penalty of perjury under the laws of the United States in accordance with the Debarment Certification attached, provided that the Debarment Certification also includes any required statements concerning exceptions that are applicable.

N.C.G.S. § 133-32 and Executive Order 24 prohibit the offer to, or acceptance by, any State Employee of any gift from anyone with a contract with the State, or from any person seeking to do business with the State. By execution of any response in this procurement, you attest, for your entire organization and its employees or agents, that you are not aware that any such gift has been offered, accepted, or promised by any employees of your organization.

## SIGNATURE OF CONTRACTOR Name of Contractor Print or type Individual name Address as Prequalified Signature of Contractor, Individually Print or type Signer's Name Signature of Witness Print or type Signer's name AFFIDAVIT MUST BE NOTARIZED Subscribed and sworn to before me this the **NOTARY SEAL** \_\_\_\_ day of \_\_\_\_\_ 20\_\_\_. Signature of Notary Public of \_\_\_\_\_County

My Commission Expires:

#### **DEBARMENT CERTIFICATION**

#### Conditions for certification:

- 1. The prequalified bidder shall provide immediate written notice to the Department if at any time the bidder learns that his certification was erroneous when he submitted his debarment certification or explanation that is file with the Department, or has become erroneous because of changed circumstances.
- 2. The terms covered transaction, debarred, suspended, ineligible, lower tier covered transaction, participant, person, primary covered transaction, principal, proposal, and voluntarily excluded, as used in this provision, have the meanings set out in the Definitions and Coverage sections of the rules implementing Executive Order 12549. A copy of the Federal Rules requiring this certification and detailing the definitions and coverages may be obtained from the Contract Officer of the Department.
- 3. The prequalified bidder agrees by submitting this form, that he will not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in NCDOT contracts, unless authorized by the Department.
- 4. For Federal Aid projects, the prequalified bidder further agrees that by submitting this form he will include the Federal-Aid Provision titled *Required Contract Provisions Federal-Aid Construction Contract (Form FHWA PR* 1273) provided by the Department, without subsequent modification, in all lower tier covered transactions.
- 5. The prequalified bidder may rely upon a certification of a participant in a lower tier covered transaction that he is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless he knows that the certification is erroneous. The bidder may decide the method and frequency by which he will determine the eligibility of his subcontractors.
- 6. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this provision. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
- 7. Except as authorized in paragraph 6 herein, the Department may terminate any contract if the bidder knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available by the Federal Government.

#### **DEBARMENT CERTIFICATION**

The prequalified bidder certifies to the best of his knowledge and belief, that he and his principals:

- a. Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;
- b. Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records; making false statements; or receiving stolen property;
- c. Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph b. of this certification; and
- d. Have not within a three-year period preceding this proposal had one or more public transactions (Federal, State or local) terminated for cause or default.
- e. Will submit a revised Debarment Certification immediately if his status changes and will show in his bid proposal an explanation for the change in status.

If the prequalified bidder cannot certify that he is not debarred, he shall provide an explanation with this submittal. An explanation will not necessarily result in denial of participation in a contract.

Failure to submit a non-collusion affidavit and debarment certification will result in the prequalified bidder's bid being considered non-responsive.

	Check here if an explanation is attached to this certification.
_	 1

# North Carolina Department of Transportation BID FORM

CONTRACT: 11237967
WBS ELEMENT: 2.102513
COUNTY: Craven
ROUTE: US 70

**DESCRIPTION:** Plant Bed Mowing and Litter Removal at Specified Plant Projects

BID OPENING: March 27, 2013

ITEM	SECT	DESCRIPTION	QTY	UNIT	UNIT PRICE	AMOUNT BID
1	SP	MOWING CYCLE AT NEUSE/TRENT RIVER BRIDGE	16	EA		
2	SP	LITTER REMOVAL CYCLE AT NEUSE/TRENT RIVER BRIDGE	20	EA		
3	SP	MOWING CYCLE AT PEMBROKE RD PLANTING	16	EA		
4	SP	LITTER REMOVAL CYCLE AT PEMBROKE RD PLANTING	20	EA		
5	SP	MOWING CYCLE AT US 70 & US 17 (MLK) PLANTING	16	EA		
6	SP	LITTER REMOVAL CYCLE AT US 70 & US 17 (MLK) PLANTING	20	EA		
7	SP	MOWING CYCLE AT US 70 & GLENBURNIE RD PLANTING	16	EA		
8	SP	LITTER REMOVAL CYCLE AT US 70 & GLENBURNIE RD PLANTING	20	EA		
9	SP	MOWING CYCLE AT US 70 & CLARKS RD PLANTING	16	EA		
10	SP	LITTER REMOVAL CYCLE AT US 70 & CLARKS RD PLANTING	20	EA		
11	SP	MOWING CYCLE AT US 70 & TUSCARORA RD PLANTING	16	EA		
12	SP	LITTER REMOVAL CYCLE AT US 70 & TUSCARORA RD PLANTING	20	EA		
13	SP	MOWING CYCLE AT US 70 & NC 41 PLANTING	16	EA		
14	SP	LITTER REMOVAL CYCLE AT US 70 & NC 41 PLANTING	20	EA		

TOTAL BID FOR PI	OJECT:

CONTRACTOR		
ADDRESS		
Federal Identification Number	Contractors License Number	

Authorized Agent	Title	
Signature		Date
Witness	Title	
Signature		Date
THIS SECTION TO BE CO This bid has been reviewed in ac Roads and Structures 2012.	MPLETED BY NC DEPARTMENT cordance with Article 103-1 of a	
Reviewed by NCDOT	Division Contract Officer	Date
Accepted by NCDOT		Date
	Division Engineer	